



**HARVESTONS SECURITIES, INC.**  
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**SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2009**

Harvestons Securities, Inc. (HSI) has prepared this report pursuant to U.S Securities and Exchange Commission Rule 606 which requires broker-dealer firms to make publicly available quarterly reports on their routing practices. This report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, HSI transmits all customer orders to our clearing firms – National Financial Services (NFS), and NFS has selected the execution venue on behalf of HSI customers.

The report is divided into four sections:

1. Securities listed on the NYSE Euronext;
2. Securities listed on the Nasdaq Stock Market;
3. Securities listed on the American Stock Exchange or regional exchanges; and
4. Exchange listed options

For each section, this report identifies the venues most often selected by NFS, sets forth the percentage of various types of orders routed to the venues and discusses the material aspects of the broker-dealer's relationship with the venues.

**1. NYSE Euronext**

**NYSE Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	96.20%
Limit orders as percentage of total non-directed orders	3.80%
Other orders as percentage of total non-directed orders	0.00%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

Venue	Total	Total Market Orders	Total Limit Orders	Total Other Orders
1. Knight Capital Markets, L.L.C.	36.71%	38.16%	0.00%	0.00%
2. Citadel Derivatives Group, LLC	20.25%	19.74%	33.00%	0.00%
3. Automated Trading Desk Financial Services, LLC	12.66%	13.16%	0.00%	0.00%
4. UBS Securities, LLC	11.39%	10.53%	33.33%	0.00%

**Material Aspect of Relationship with Venue**

- HSI does not receive payment from any broker dealer for directing listed equity order flow to this venue.

**2. Nasdaq Stock Market**

**Nasdaq Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	92.20%
Limit orders as percentage of total non-directed orders	7.80%
Other orders as percentage of total non-directed orders	0.00%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

Venue	Total	Total Market Orders	Total Limit Orders	Total Other Orders
1. UBS Securities, LLC	23.40%	23.85%	18.18%	0.00%
2. Knight Equity Markets, L.P.	21.99%	21.54%	27.27%	0.00%
3. Citadel Derivatives Group, LLC	13.48%	13.85%	9.09%	0.00%

**Material Aspect of Relationship with Venue**

- HSI does not receive payment from any broker dealer for directing listed equity order flow to this venue.

### 3. American Stock Exchange (AMEX) or Regional Exchanges

#### AMEX or Regional Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	100.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

#### Venues Receiving Significant Percentage of Total Non-Directed Orders :

Venue	Total	Total Market Orders	Total Limit Orders	Total Other Orders
1. Knight Capital Markets, L.L.C.	100.00%	100.00%	0.00%	0.00%

### 4. Exchange Listed Options

#### Exchange Listed Options Summary Statistics :

Non-directed orders as percentage of total customer orders	0.00%
Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

#### Note: Column Heading Definitions

**Venue** - Routing destination to which a significant

**Total** - Total percent of all non-directed orders routed to this venue.

**Total Market Orders** - Percent of all non-directed market orders routed to this venue.

**Total Limit Orders** - Percent of all non-directed limit orders routed to this venue.

**Total Other Orders** - Percent of all non-directed other orders routed to this venue. Other orders are defined as orders not specifically designated market or limit, such as stop orders.